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## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT  | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|------------------------|------------------------|
| 09/677,000         | 09/29/2000          | Adrian William Spender | GB9-2000-0096-US1      |

ABC

Edward H. Duffield  
IBM Corporation  
IPLaw, Dept. T81/Bldg. 503  
P.O. Box 12195  
Research Triangle Park, NC 27709



## FORMALITIES LETTER



\*OC000000005617567\*

Date Mailed: 12/13/2000

Due: 2-13-2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

A copy of this notice **MUST** be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

01/10/2001 SDUBNG 00000039 090461 09677000

01 FC:105 130.00 CH

*Set for*

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

In re application of  
Adrian William Spender  
Ser. No. 09/677,000  
Filed: 09/29/00  
For: Enabline Multiple Client access to a Process-  
Based System or Program from a Single Java Virtual:  
Machine



January 5, 2001  
IBM Corporation  
Dept.T81/Bldg. 062  
P.O. Box 12195  
Park, NC 27709

**Notice to File Missing Parts of Application**

**Filing Date Granted**

Assistant Commissioner for Patents  
Washington, DC 20231

Sir:

In response to the Notice to File Missing Parts dated December 13, 2000, please find enclosed a Declaration and Power of Attorney with original signature for the above identified application.

The Examiner is authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 09-0461. A duplicate copy of this sheet is enclosed.

The surcharge of \$130.00 set forth in 37 CFR 1.16(e)

- ☒ Any additional filing fees required under 37 C.F.R. §1.16.
- ☒ Any patent application processing fees under 37 C.F.R. §1.17.

Respectfully submitted,

*A. Bruce Clay*  
A. Bruce Clay  
Attorney for Applicants  
Reg. No. 32,121

ABC:cmr  
enclosure  
Docket No: GB9-2000-0096US1  
Phone: 919-254-6717  
Fax: 919-254-4330



Docket No. GB9-2000-0096US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Adrian William Spender

Serial No.: 09/677,000

Filed: 09/29/00

For: Enabling Multiple Client Access to a Process-Based System or Program from a Single Java Virtual Machine

Assistant Commissioner of Patents

Washington, D.C. 20231

CERTIFICATE OF MAILING UNDER 37 CFR 1.8(a)

I hereby certify that the following attached correspondence comprising:

1. Notice to File Missing Parts of Application (In duplicate)
2. Copy of the Notice to File Missing Parts from Patent Office
3. Declaration and Power of Attorney
4. Business Reply Post Card

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner of Patents  
Washington, D.C. 20231

on January 5, 2001

Catherine M. Robbins  
(Name of person mailing paper or fee)

Catherine M. Robbins  
(Signature of person mailing paper or fee)